

On the Lattice Isomorphism Problem, Quadratic Forms, Remarkable Lattices, and Cryptography

Léo Ducas, Wessel van Woerden (CWI, Cryptology Group).



Centrum Wiskunde & Informatica

Motivation

- LWE, SIS, NTRU lattices: **versatile**, but **poor decoding**.

Motivation

- LWE, SIS, NTRU lattices: `versatile`, but `poor decoding`.
- Many wonderful lattices exist with great geometric properties.

Motivation

- LWE, SIS, NTRU lattices: `versatile`, but `poor decoding`.
- Many wonderful lattices exist with great geometric properties.
- Can we use these in cryptography?

Motivation

- LWE, SIS, NTRU lattices: `versatile`, but `poor decoding`.
- Many wonderful lattices exist with great geometric properties.
- Can we use these in cryptography?

Contributions

- General identification, encryption and signature scheme based on the Lattice Isomorphism Problem.

Motivation

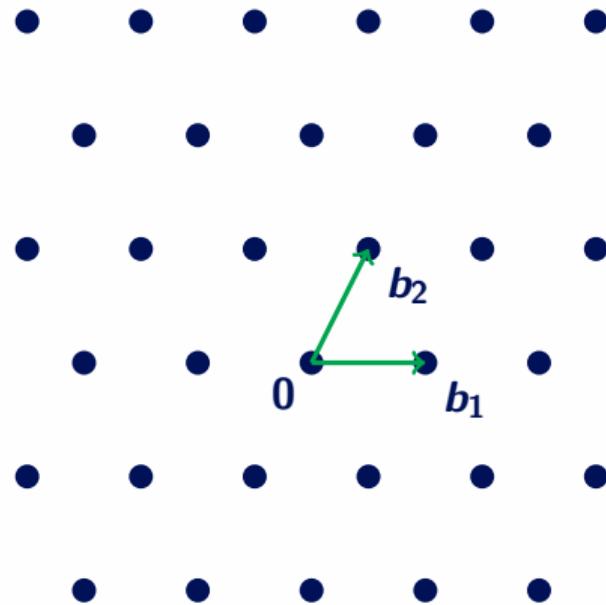
- LWE, SIS, NTRU lattices: `versatile`, but `poor decoding`.
- Many wonderful lattices exist with great geometric properties.
- Can we use these in cryptography?

Contributions

- General identification, encryption and signature scheme based on the Lattice Isomorphism Problem.
- Better lattice \implies better efficiency and security.

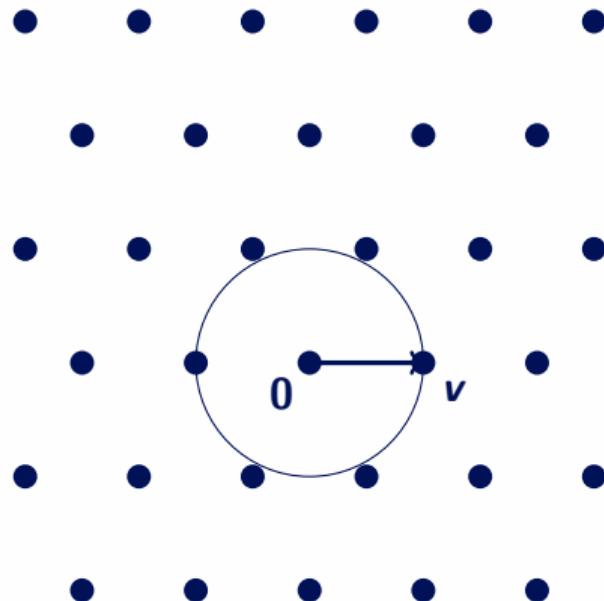
Lattices

Lattice $\mathcal{L}(B) := \{\sum_i x_i b_i : x \in \mathbb{Z}^n\} \subset \mathbb{R}^n$



Lattices

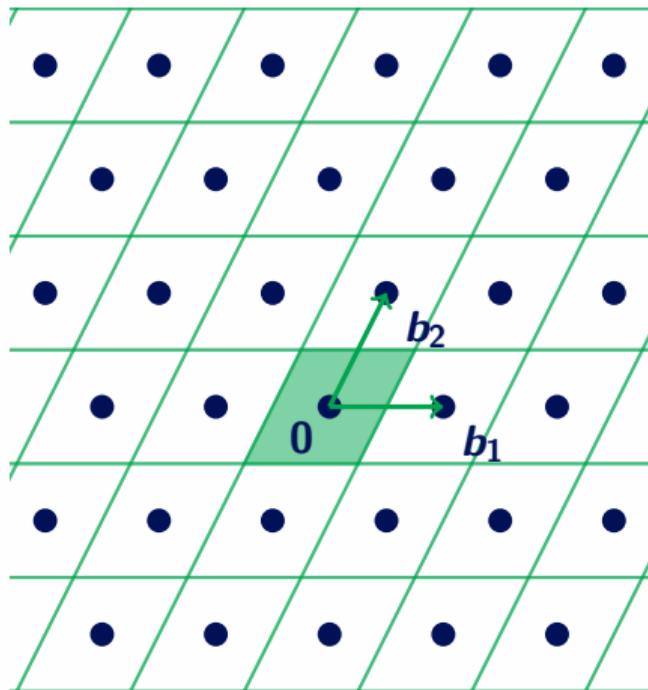
Lattice $\mathcal{L}(B) := \{\sum_i x_i b_i : x \in \mathbb{Z}^n\} \subset \mathbb{R}^n$



First minimum
 $\lambda_1(\mathcal{L}) := \min_{x \in \mathcal{L} \setminus \{0\}} \|x\|_2$

Lattices

Lattice $\mathcal{L}(B) := \{\sum_i x_i b_i : x \in \mathbb{Z}^n\} \subset \mathbb{R}^n$

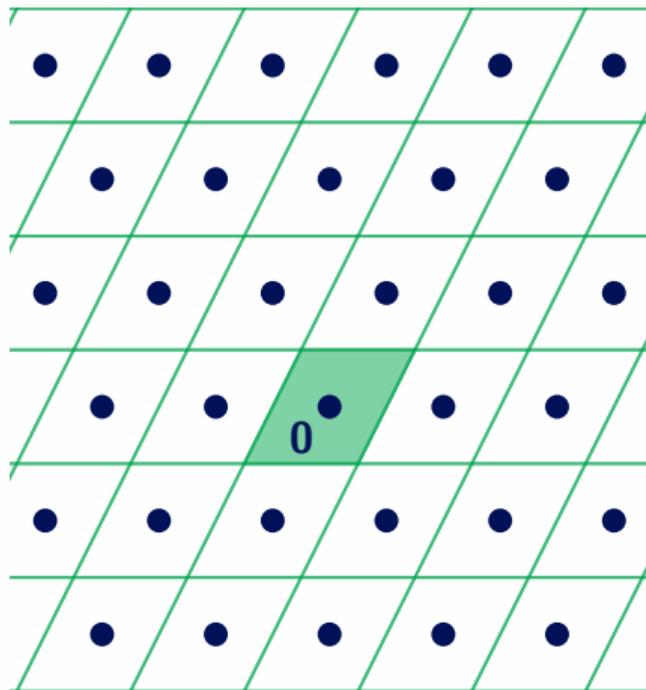


First minimum
 $\lambda_1(\mathcal{L}) := \min_{x \in \mathcal{L} \setminus \{0\}} \|x\|_2$

Determinant
 $\det(\mathcal{L}) := \text{vol}(\mathbb{R}^n / \mathcal{L}) = |\det(B)|$

Lattices

Lattice $\mathcal{L}(B) := \{\sum_i x_i b_i : x \in \mathbb{Z}^n\} \subset \mathbb{R}^n$



First minimum
 $\lambda_1(\mathcal{L}) := \min_{x \in \mathcal{L} \setminus \{0\}} \|x\|_2$

Determinant
 $\det(\mathcal{L}) := \text{vol}(\mathbb{R}^n / \mathcal{L}) = |\det(B)|$

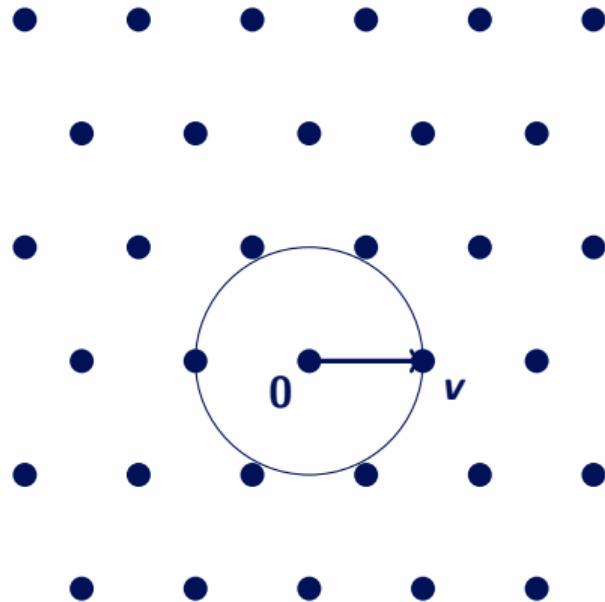
Minkowski's Theorem
$$\lambda_1(\mathcal{L}) \leq 2 \underbrace{\frac{\det(\mathcal{L})^{1/n}}{\text{vol}(\mathcal{B}^n)^{1/n}}}_{\text{Mk}(\mathcal{L})} \leq \sqrt{n} \det(\mathcal{L})^{1/n}$$

Hard Problems

Lattice $\mathcal{L} \subset \mathbb{R}^n$

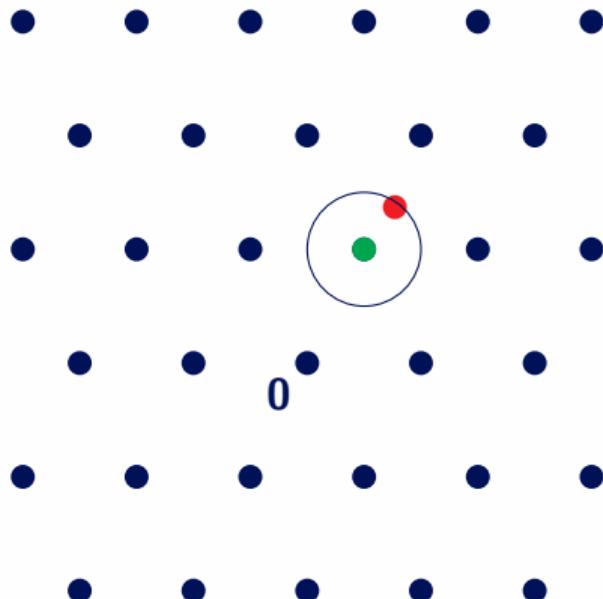
SVP

Find a *shortest* nonzero vector
 $v \in \mathcal{L}$ of length $\lambda_1(\mathcal{L}) \leq \text{Mk}(\mathcal{L})$.



Hard Problems

Lattice $\mathcal{L} \subset \mathbb{R}^n$



SVP

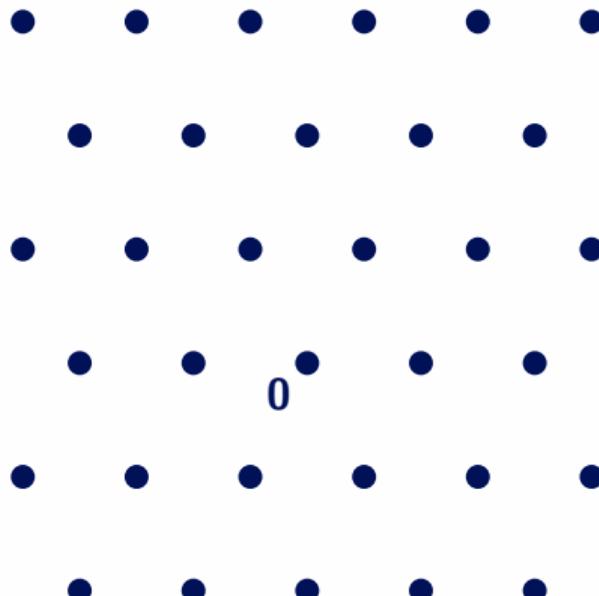
Find a *shortest nonzero* vector
 $v \in \mathcal{L}$ of length $\lambda_1(\mathcal{L}) \leq M k(\mathcal{L})$.

BDD

Given a target $t = v + e \in \mathbb{R}^n$ with
 $v \in \mathcal{L}$ and $\|e\| < \rho \leq \frac{1}{2}\lambda_1(\mathcal{L}) \leq \frac{1}{2}M k(\mathcal{L})$,
recover $v \in \mathcal{L}$.

Hard Problems

Lattice $\mathcal{L} \subset \mathbb{R}^n$



SVP

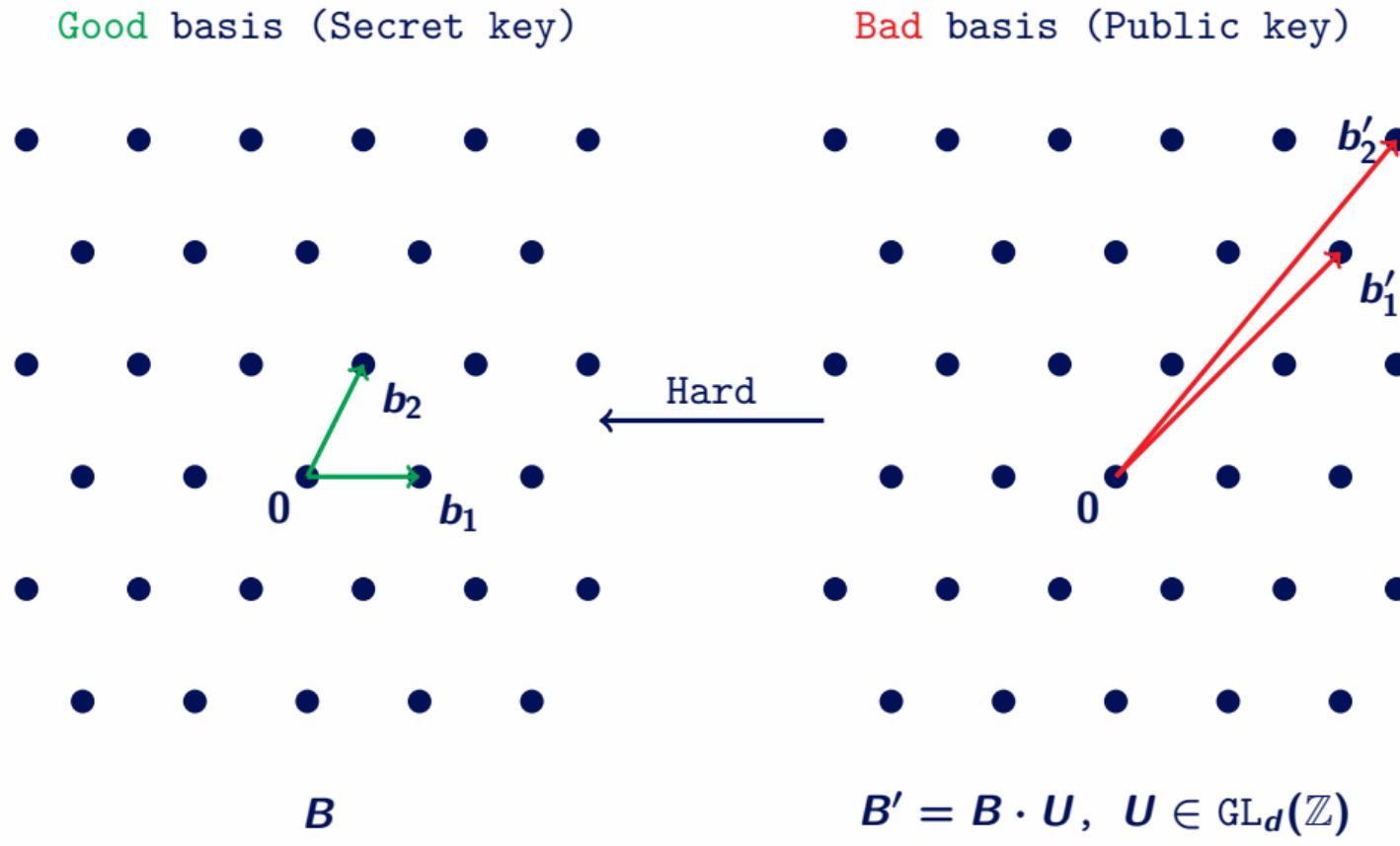
Find a *shortest nonzero* vector
 $v \in \mathcal{L}$ of length $\underbrace{\lambda_1(\mathcal{L}) \leq M k(\mathcal{L})}_{\text{gap}(\mathcal{L})}$.

BDD

Given a target $t = v + e \in \mathbb{R}^n$ with
 $v \in \mathcal{L}$ and $\|e\| < \underbrace{\frac{1}{2}\lambda_1(\mathcal{L}) \leq \frac{1}{2}M k(\mathcal{L})}_{\text{gap}(\mathcal{L}, \rho)}$,
recover $v \in \mathcal{L}$.

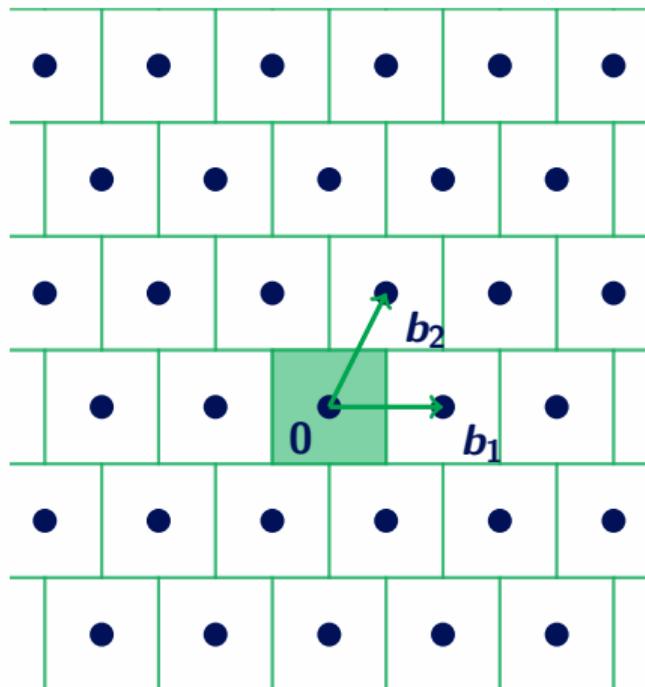
Hardness depends on the *gaps*!

Encryption, legacy approach

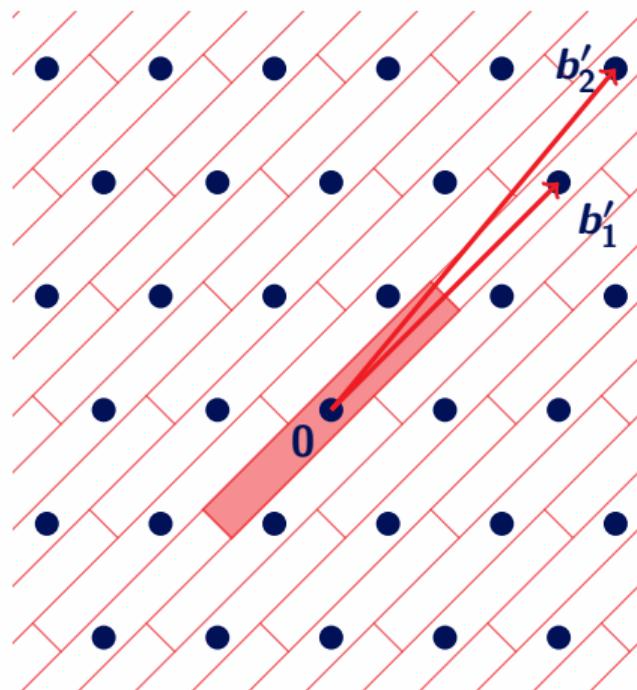


Encryption, legacy approach

Good basis (Secret key)



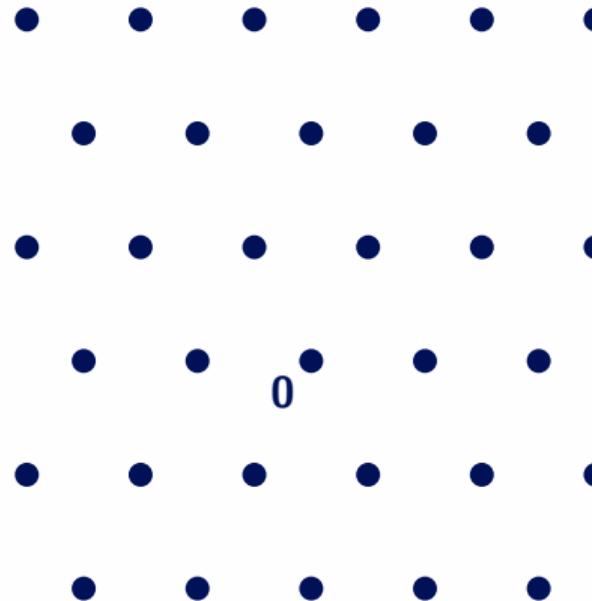
Bad basis (Public key)



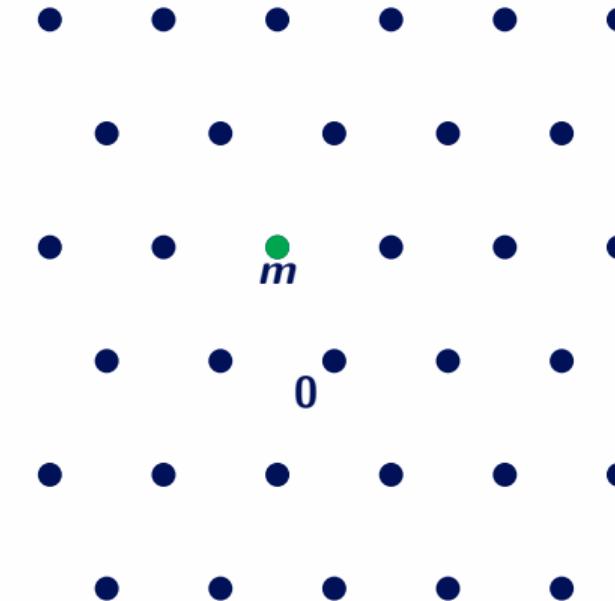
Babai's nearest plane algorithm

Encryption, legacy approach

Good basis (Secret key)

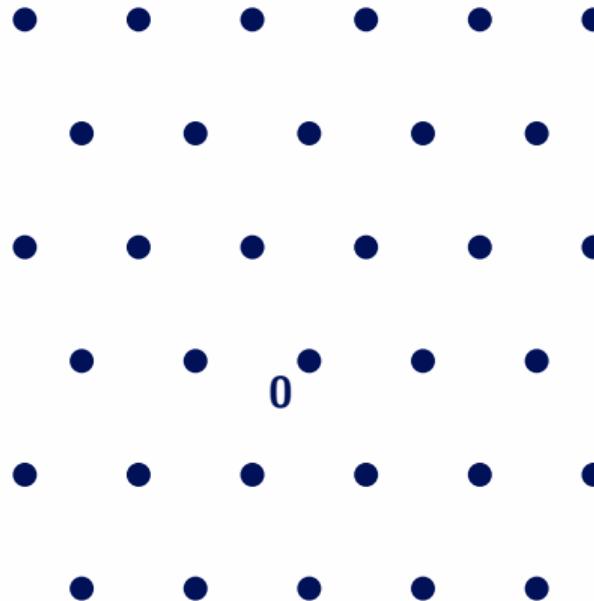


Bad basis (Public key)

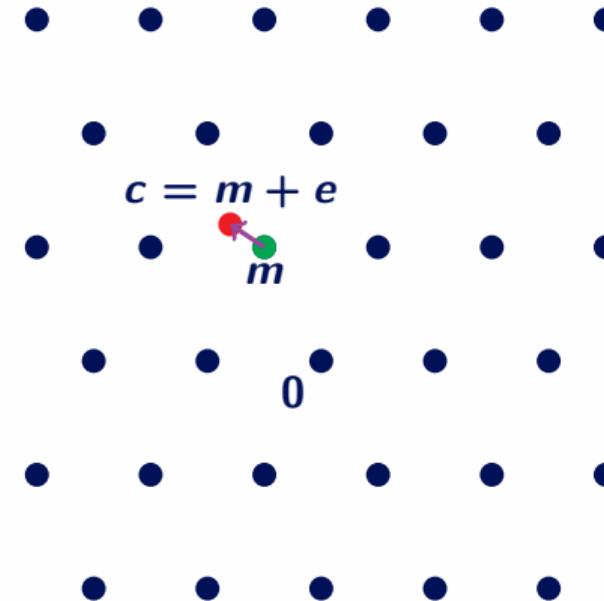


Encryption, legacy approach

Good basis (Secret key)



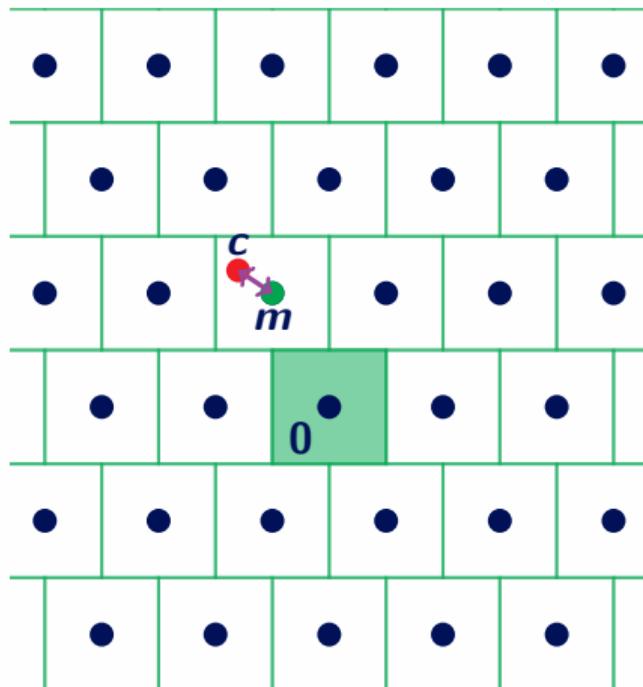
Bad basis (Public key)



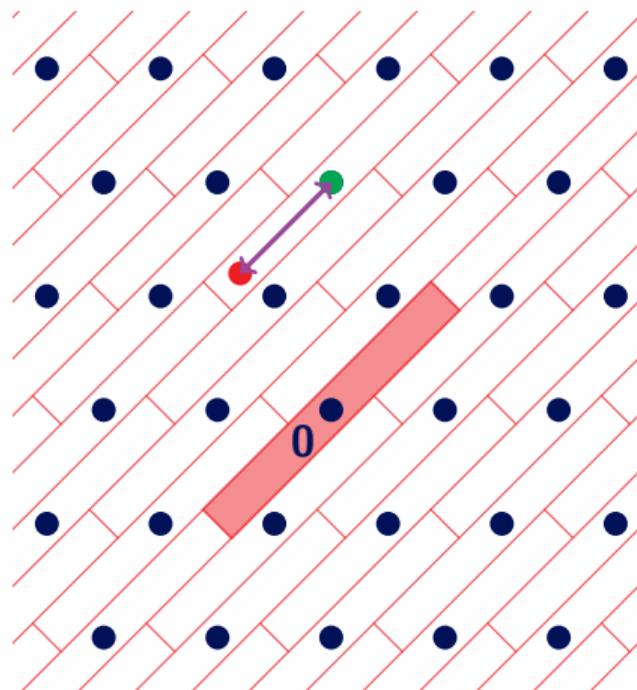
Encrypt by adding a small error

Encryption, legacy approach

Good basis (Secret key)



Bad basis (Public key)



Decrypt using the good basis

Remarkable Lattices

Large gap

Current lattice based crypto relies on hardness of decoding with

$$\text{gap}(\mathcal{L}, \rho) \geq \Omega(\sqrt{n}).$$

Broken by SVP in dimension $\beta \leq n/2 + o(n)$, e.g.

$$n = 1024 \implies \beta \approx 450.$$

Remarkable Lattices

Large gap

Current lattice based crypto relies on hardness of decoding with

$$\text{gap}(\mathcal{L}, \rho) \geq \Omega(\sqrt{n}).$$

Broken by SVP in dimension $\beta \leq n/2 + o(n)$, e.g.

$$n = 1024 \implies \beta \approx 450.$$

An example: Prime Lattice [CR88]

Let p_1, \dots, p_n be distinct small primes not dividing m , we define:

$$\mathcal{L}_{\text{prime}} := \{x = (x_1, \dots, x_n) \in \mathbb{Z}^n : \prod_i p_i^{x_i} \equiv 1 \pmod{m}\}.$$

Remarkable Lattices

Large gap

Current lattice based crypto relies on hardness of decoding with

$$\text{gap}(\mathcal{L}, \rho) \geq \Omega(\sqrt{n}).$$

Broken by SVP in dimension $\beta \leq n/2 + o(n)$, e.g.

$$n = 1024 \implies \beta \approx 450.$$

An example: Prime Lattice [CR88]

Let p_1, \dots, p_n be distinct small primes not dividing m , we define:

$$\mathcal{L}_{\text{prime}} := \{x = (x_1, \dots, x_n) \in \mathbb{Z}^n : \prod_i p_i^{x_i} \equiv 1 \pmod{m}\}.$$

- Efficiently decode up to large radius ρ by trial division.

Remarkable Lattices

Large gap

Current lattice based crypto relies on hardness of decoding with

$$\text{gap}(\mathcal{L}, \rho) \geq \Omega(\sqrt{n}).$$

Broken by SVP in dimension $\beta \leq n/2 + o(n)$, e.g.

$$n = 1024 \implies \beta \approx 450.$$

An example: Prime Lattice [CR88]

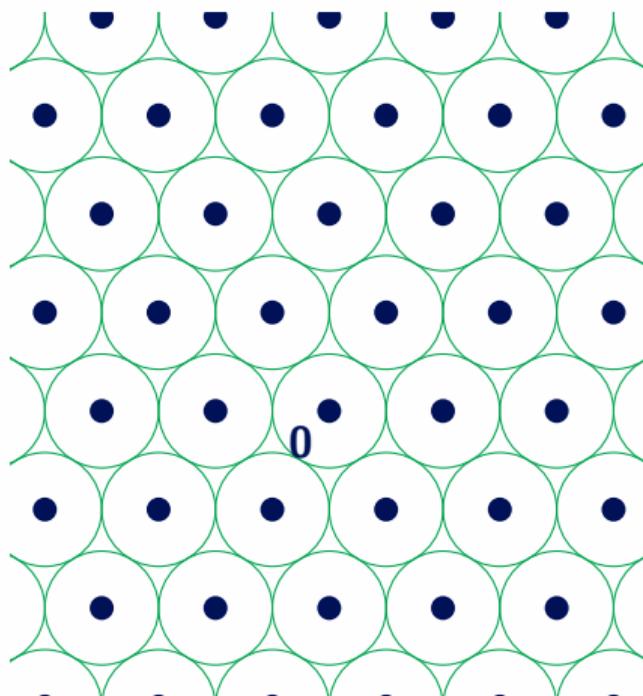
Let p_1, \dots, p_n be distinct small primes not dividing m , we define:

$$\mathcal{L}_{\text{prime}} := \{x = (x_1, \dots, x_n) \in \mathbb{Z}^n : \prod_i p_i^{x_i} = 1 \pmod{m}\}.$$

- Efficiently decode up to large radius ρ by trial division.
- With the right parameters $\text{gap}(\mathcal{L}_{\text{prime}}, \rho) = \Theta(\log(n))$ [DP19].

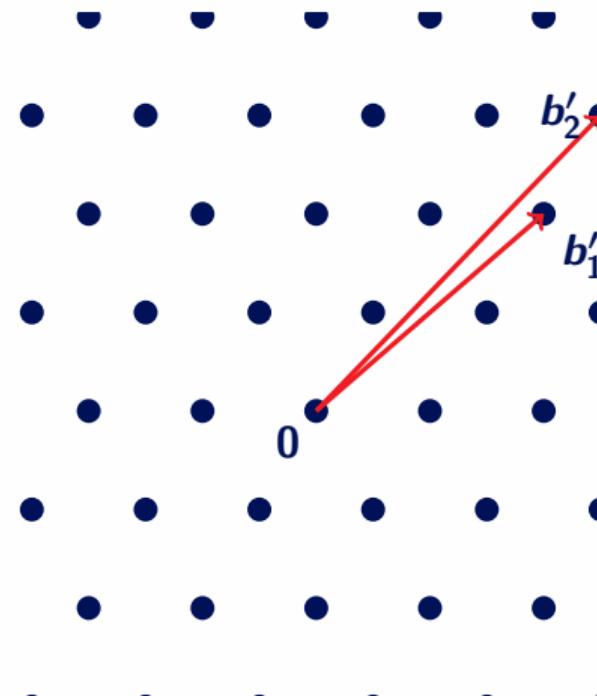
How to hide the remarkable lattice?

Good lattice (Secret key)



\mathcal{L}

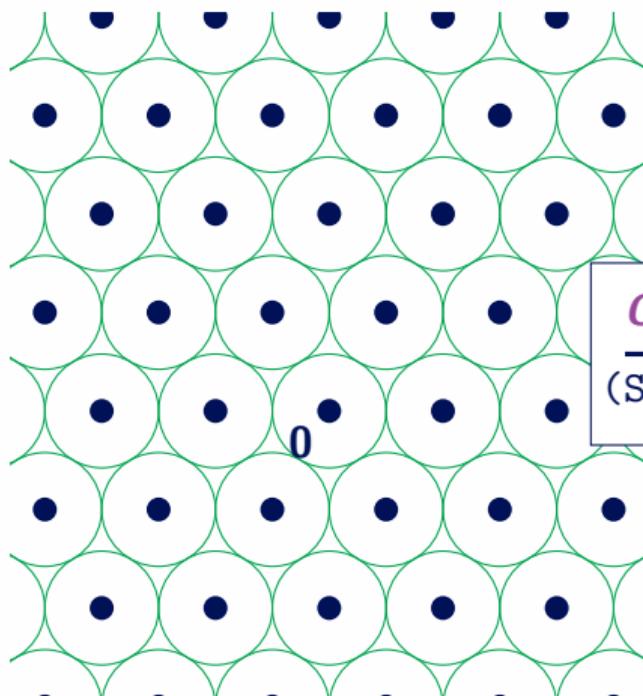
Bad basis (Public key)



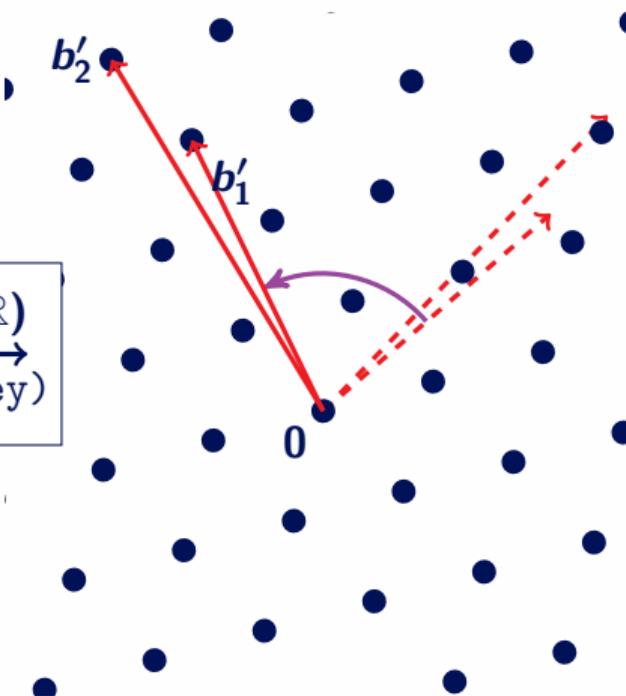
\mathcal{L}

How to hide the remarkable lattice?

Good lattice (Secret key)



Bad basis (Public key)

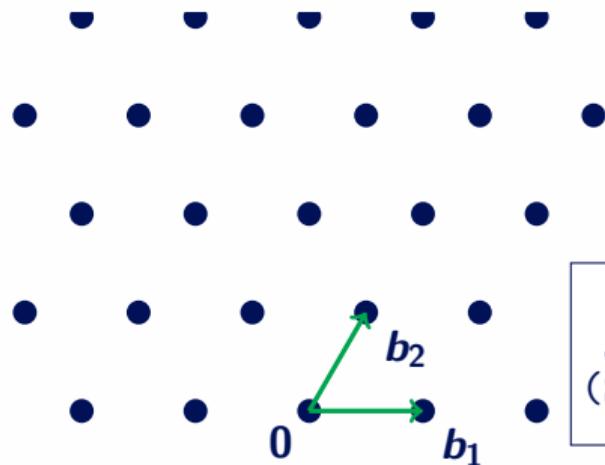


\mathcal{L}

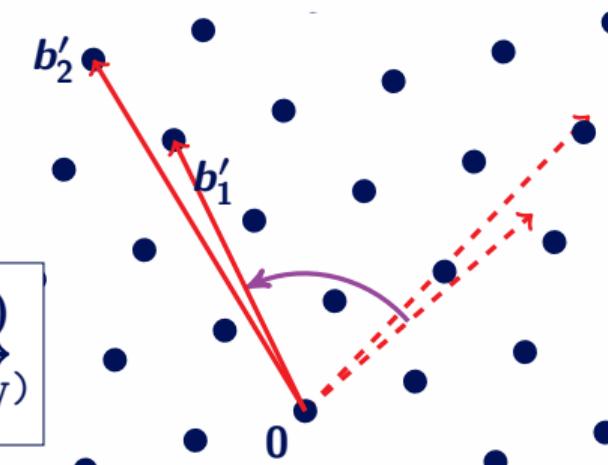
$O \cdot \mathcal{L}$

How to hide the remarkable lattice?

Good lattice (Secret key)



Bad basis (Public key)



$$\xrightarrow{O \in \mathcal{O}_n(\mathbb{R})} \text{(Secret key)}$$

Lattice Isomorphism Problem

Given $B, B' \in \mathrm{GL}_n(\mathbb{R})$, find

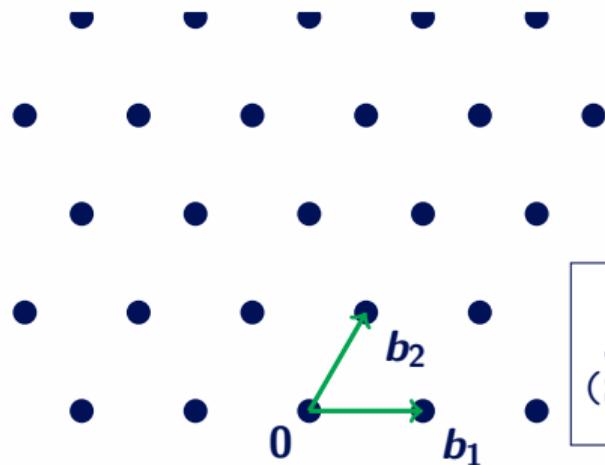
$$O \in \mathcal{O}_n(\mathbb{R}) \text{ s.t. } \mathcal{L}(B') = O \cdot \mathcal{L}(B).$$

\mathcal{L}

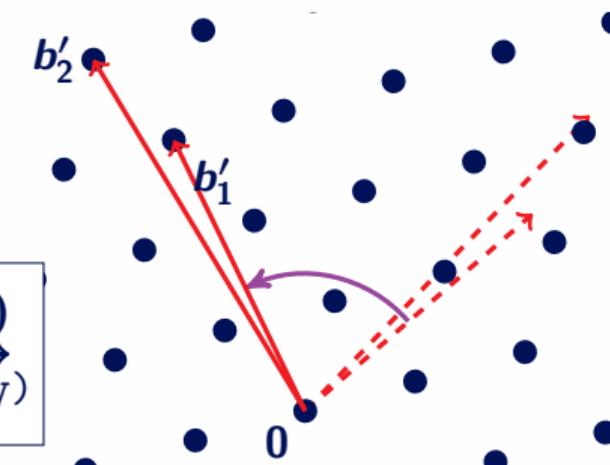
$O \cdot \mathcal{L}$

How to hide the remarkable lattice?

Good lattice (Secret key)



Bad basis (Public key)



$$\xrightarrow{O \in \mathcal{O}_n(\mathbb{R})} \text{(Secret key)}$$

Lattice Isomorphism Problem

Given $B, B' \in \mathrm{GL}_n(\mathbb{R})$, find $O \in \mathcal{O}_n(\mathbb{R})$ and $U \in \mathrm{GL}_n(\mathbb{Z})$ s.t. $B' = O \cdot B \cdot U$.

B

$B' = O \cdot B \cdot U$

Lattice Isomorphism Problem

LIP

Given $B, B' \in \mathrm{GL}_n(\mathbb{R})$ of isomorphic lattices, find $O \in \mathcal{O}_n(\mathbb{R})$ and $U \in \mathrm{GL}_n(\mathbb{Z})$ s.t. $B' = O \cdot B \cdot U$.

Lattice Isomorphism Problem

LIP

Given $B, B' \in \mathrm{GL}_n(\mathbb{R})$ of isomorphic lattices, find $O \in \mathcal{O}_n(\mathbb{R})$ and $U \in \mathrm{GL}_n(\mathbb{Z})$ s.t. $B' = O \cdot B \cdot U$.

- The lattice analogue of ‘vintage’ McEliece $G' = P \cdot G \cdot S$,
- and Oil and Vinegar $\mathcal{P} = \mathcal{Q} \circ \mathcal{S}$.

Lattice Isomorphism Problem

LIP

Given $B, B' \in \mathrm{GL}_n(\mathbb{R})$ of isomorphic lattices, find $O \in \mathcal{O}_n(\mathbb{R})$ and $U \in \mathrm{GL}_n(\mathbb{Z})$ s.t. $B' = O \cdot B \cdot U$.

- The lattice analogue of ‘vintage’ McEliece $G' = P \cdot G \cdot S$,
- and Oil and Vinegar $\mathcal{P} = \mathcal{Q} \circ \mathcal{S}$.
- Best known attacks require to solve SVP.

Two Challenges

$$B' = O \cdot B \cdot U.$$

Two Challenges

$$B' = O \cdot B \cdot U.$$



Sidestep real values!

$$O \in \mathcal{O}_n(\mathbb{R})$$

Two Challenges

Sample $\textcolor{violet}{U} \in \mathrm{GL}_n(\mathbb{Z})$ s.t.
 B' is independent of B .

$$B' = \textcolor{violet}{O} \cdot B \cdot \textcolor{orange}{U}.$$

Sidestep real values!

$$\textcolor{violet}{O} \in \mathcal{O}_n(\mathbb{R})$$

Quadratic Forms

Orthonormal $O \in \mathcal{O}_n(\mathbb{R})$

Quadratic Forms

Orthonormal $O \in \mathcal{O}_n(\mathbb{R})$

$$(B')^t B' = U^t B^t O^t O B U = U^t B^t B U.$$

Quadratic Forms

Orthonormal $O \in \mathcal{O}_n(\mathbb{R})$

$$(B')^t B' = U^t B^t O^t O B U = U^t B^t B U.$$

$$Q := B^t B \in \mathcal{S}_n^{>0}$$

Lattices \implies Quadratic Forms

Keep the geometry, forget the embedding.

Quadratic Forms

Orthonormal $O \in O_n(\mathbb{R})$

$$(B')^t B' = U^t B^t O^t O B U = U^t B^t B U.$$

$$Q := B^t B \in \mathcal{S}_n^{>0}$$

Lattices \implies Quadratic Forms

Keep the geometry, forget the embedding.

LIP restated:

Find $U \in \mathrm{GL}_n(\mathbb{Z})$ s.t. $Q' = U^t Q U$.

An average-case distribution

Unimodular $\mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})$

An average-case distribution

Unimodular $\mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})$

Equivalence class $[Q] := \{\mathbf{U}^t Q \mathbf{U} : \mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})\}$.

Def: Distribution $\mathcal{D}_\sigma([Q])$ over $[Q]$,

An average-case distribution

Unimodular $\mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})$

Equivalence class $[\mathbf{Q}] := \{\mathbf{U}^t \mathbf{Q} \mathbf{U} : \mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})\}$.

Def: Distribution $\mathcal{D}_\sigma([\mathbf{Q}])$ over $[\mathbf{Q}]$,

+

Efficient sampler $(\mathbf{Q}', \mathbf{U}) \leftarrow \mathrm{Sample}_\sigma(\mathbf{Q})$
s.t. $\mathbf{Q}' \sim \mathcal{D}_\sigma([\mathbf{Q}])$ and $\mathbf{Q}' = \mathbf{U}^t \mathbf{Q} \mathbf{U}$.

\mathbf{Q}' only depends on the class $[\mathbf{Q}]$ and not on \mathbf{Q} itself.

An average-case distribution

Unimodular $\mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})$

Equivalence class $[\mathbf{Q}] := \{\mathbf{U}^t \mathbf{Q} \mathbf{U} : \mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})\}$.

Def: Distribution $\mathcal{D}_\sigma([\mathbf{Q}])$ over $[\mathbf{Q}]$,

+

Efficient sampler $(\mathbf{Q}', \mathbf{U}) \leftarrow \mathrm{Sample}_\sigma(\mathbf{Q})$
s.t. $\mathbf{Q}' \sim \mathcal{D}_\sigma([\mathbf{Q}])$ and $\mathbf{Q}' = \mathbf{U}^t \mathbf{Q} \mathbf{U}$.

\mathbf{Q}' only depends on the class $[\mathbf{Q}]$ and not on \mathbf{Q} itself.

⇒ average-case LIP, ZKPoK and identification scheme.

An average-case distribution

Unimodular $\mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})$

Equivalence class $[\mathbf{Q}] := \{\mathbf{U}^t \mathbf{Q} \mathbf{U} : \mathbf{U} \in \mathrm{GL}_n(\mathbb{Z})\}$.

Def: Distribution $\mathcal{D}_\sigma([\mathbf{Q}])$ over $[\mathbf{Q}]$,

+

Efficient sampler $(\mathbf{Q}', \mathbf{U}) \leftarrow \mathrm{Sample}_\sigma(\mathbf{Q})$
s.t. $\mathbf{Q}' \sim \mathcal{D}_\sigma([\mathbf{Q}])$ and $\mathbf{Q}' = \mathbf{U}^t \mathbf{Q} \mathbf{U}$.

\mathbf{Q}' only depends on the class $[\mathbf{Q}]$ and not on \mathbf{Q} itself.

⇒ average-case LIP, ZKPoK and identification scheme.

⇒ Worst-case to average-case reduction over $[\mathbf{Q}]$.

Concrete Cryptanalysis

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

Best known: generic lattice reduction.

Concrete Cryptanalysis

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

Best known: generic lattice reduction.

SVP attack: $\text{gap}(\mathcal{L})$.

Concrete Cryptanalysis

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

Best known: generic lattice reduction.

SVP attack: $\text{gap}(\mathcal{L})$.

Dual SVP attack: $\text{gap}(\mathcal{L}^*)$.

Concrete Cryptanalysis

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

Best known: generic lattice reduction.

SVP attack: $\text{gap}(\mathcal{L})$.

Dual SVP attack: $\text{gap}(\mathcal{L}^*)$.

Decoding attack (BDD): $\text{gap}(\mathcal{L}, \rho)$.

Decodable Lattices

Lattice	$\text{gap}(\mathcal{L})$	$\text{gap}(\mathcal{L}^*)$	$\text{gap}(\mathcal{L}, \rho)$
'Random' Lattice	$\Theta(1)$	$\Theta(1)$	$2^{\Theta(n)}$
Prime Lattice	$\Theta(\log n)$	$\Omega(\sqrt{n})$	$\Theta(\log n)$ [CR88, DP19]
Barnes-Sloane	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [MP20]
Reed-Solomon	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [BP22]
\mathbb{Z}^n	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
NTRU, LWE	$\Omega(1) \dots O(\sqrt{n})$	$\Omega(1)$	$\Omega(\sqrt{n})$
Barnes-Wall	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$ [MN08]

Decodable Lattices

Lattice	$\text{gap}(\mathcal{L})$	$\text{gap}(\mathcal{L}^*)$	$\text{gap}(\mathcal{L}, \rho)$
'Random' Lattice	$\Theta(1)$	$\Theta(1)$	$2^{\Theta(n)}$
Prime Lattice	$\Theta(\log n)$	$\Omega(\sqrt{n})$	$\Theta(\log n)$ [CR88, DP19]
Barnes-Sloane	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [MP20]
Reed-Solomon	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [BP22]
\mathbb{Z}^n	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
NTRU, LWE	$\Omega(1) \dots O(\sqrt{n})$	$\Omega(1)$	$\Omega(\sqrt{n})$
Barnes-Wall	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$ [MN08]

Decodable Lattices

Lattice	$\text{gap}(\mathcal{L})$	$\text{gap}(\mathcal{L}^*)$	$\text{gap}(\mathcal{L}, \rho)$
'Random' Lattice	$\Theta(1)$	$\Theta(1)$	$2^{\Theta(n)}$
Prime Lattice	$\Theta(\log n)$	$\Omega(\sqrt{n})$	$\Theta(\log n)$ [CR88, DP19]
Barnes-Sloane	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [MP20]
Reed-Solomon	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [BP22]
\mathbb{Z}^n	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
NTRU, LWE	$\Omega(1) \dots O(\sqrt{n})$	$\Omega(1)$	$\Omega(\sqrt{n})$
Barnes-Wall	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$ [MN08]

Decodable Lattices

Lattice	$\text{gap}(\mathcal{L})$	$\text{gap}(\mathcal{L}^*)$	$\text{gap}(\mathcal{L}, \rho)$
'Random' Lattice	$\Theta(1)$	$\Theta(1)$	$2^{\Theta(n)}$
Prime Lattice	$\Theta(\log n)$	$\Omega(\sqrt{n})$	$\Theta(\log n)$ [CR88, DP19]
Barnes-Sloane	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [MP20]
Reed-Solomon	$\Theta(\sqrt{\log n})$	$\Omega(\sqrt{n})$	$\Theta(\sqrt{\log n})$ [BP22]
\mathbb{Z}^n	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
NTRU, LWE	$\Omega(1) \dots O(\sqrt{n})$	$\Omega(1)$	$\Omega(\sqrt{n})$
Barnes-Wall	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$	$\Theta(\sqrt[4]{n})$ [MN08]

Interesting cases

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

Interesting cases

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

\mathbb{Z}^n : similar geometry to NTRU, LWE,
but extremely simple and efficient.

$$n = 1024 \implies \beta \approx 440$$

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

\mathbb{Z}^n : similar geometry to NTRU, LWE,
but extremely simple and efficient.

$$n = 1024 \implies \beta \approx 440$$

BW^n : better geometry and decoding $O(\sqrt[4]{n})$,
 $n = 1024 \implies \beta \approx 780$.

Interesting cases

Decodable lattice $\mathcal{L} \implies$ Encryption scheme.

\mathbb{Z}^n : similar geometry to NTRU, LWE,
but extremely simple and efficient.

$$n = 1024 \implies \beta \approx 440$$

BW^n : better geometry and decoding $O(\sqrt[4]{n})$,
 $n = 1024 \implies \beta \approx 780$.

? : gaps $\leq \text{poly-log}(n)$,
 $\beta \approx n$.

Conclusion

Any lattice \Rightarrow Identification scheme.

Decodable lattice \mathcal{L} \Rightarrow Encryption scheme.

Gaussian sampleable lattice \mathcal{L} \Rightarrow Signature scheme.

Conclusion

Any lattice \Rightarrow Identification scheme.

Decodable lattice \mathcal{L} \Rightarrow Encryption scheme.

Gaussian sampleable lattice \mathcal{L} \Rightarrow Signature scheme.

\mathbb{Z}^n seems enough to match
security of LWE and NTRU.

Conclusion

Any lattice \Rightarrow Identification scheme.

Decodable lattice \mathcal{L} \Rightarrow Encryption scheme.

Gaussian sampleable lattice \mathcal{L} \Rightarrow Signature scheme.

\mathbb{Z}^n seems enough to match
security of LWE and NTRU.

End goal: do even better.

Conclusion

Any lattice \Rightarrow Identification scheme.

Decodable lattice \mathcal{L} \Rightarrow Encryption scheme.

Gaussian sampleable lattice \mathcal{L} \Rightarrow Signature scheme.

\mathbb{Z}^n seems enough to match
security of LWE and NTRU.

End goal: do even better.

Thanks! :)